The Relational Model

Chapter 3

Why Study the Relational Model?

- Most widely used model.
  - Vendors: IBM, Informix, Microsoft, Oracle, Sybase, etc.
- “Legacy systems” in older models
  - E.G., IBM’s IMS
- Recent competitor: object-oriented model
  - ObjectStore, Versant, Ontos
- A synthesis emerging: object-relational model
  - Informed Universal Server, UniSQL, O2, Oracle, DB2

Relational Database: Definitions

- Relational database: a set of relations
- Relation: made up of 2 parts:
  - Instance: a table, with rows and columns.
  - Schema: specifies name of relation, plus name and type of each column.
- Can think of a relation as a set of rows or tuples (i.e., all rows are distinct).

Example Instance of Students Relation

<table>
<thead>
<tr>
<th>sid</th>
<th>name</th>
<th>login</th>
<th>age</th>
<th>gpa</th>
</tr>
</thead>
<tbody>
<tr>
<td>53666</td>
<td>Jones</td>
<td>jones@cs</td>
<td>18</td>
<td>3.4</td>
</tr>
<tr>
<td>53688</td>
<td>Smith</td>
<td>smith@eecs</td>
<td>18</td>
<td>3.2</td>
</tr>
<tr>
<td>53650</td>
<td>Smith</td>
<td>smith@math</td>
<td>19</td>
<td>3.8</td>
</tr>
</tbody>
</table>

- Cardinality = 3, degree = 5, all rows distinct
- Do all columns in a relation instance have to be distinct?

Relational Query Languages

- A major strength of the relational model: supports simple, powerful querying of data.
- Queries can be written intuitively, and the DBMS is responsible for efficient evaluation.
  - The key: precise semantics for relational queries.
  - Allows the optimizer to extensively re-order operations, and still ensure that the answer does not change.

The SQL Query Language

- Developed by IBM (system R) in the 1970s
- Need for a standard since it is used by many vendors
- Standards:
  - SQL-86
  - SQL-89 (minor revision)
  - SQL-92 (major revision)
  - SQL-99 (major extensions, current standard)
The SQL Query Language

- To find all 18 year old students, we can write:
  ```sql
  SELECT *
  FROM Students S
  WHERE S.age=18
  ```

- To find just names and logins, replace the first line:
  ```sql
  SELECT S.name, S.login
  ```

<table>
<thead>
<tr>
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<th>name</th>
<th>login</th>
<th>age</th>
<th>gpa</th>
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</tr>
<tr>
<td>53688</td>
<td>Smith</td>
<td>smith@eee</td>
<td>18</td>
<td>3.2</td>
</tr>
</tbody>
</table>

Querying Multiple Relations

- What does the following query compute?
  ```sql
  SELECT S.name, E.cid
  FROM Students S, Enrolled E
  WHERE S.sid=E.sid AND E.grade="A"
  ```

<table>
<thead>
<tr>
<th>sid</th>
<th>cid</th>
<th>grade</th>
</tr>
</thead>
<tbody>
<tr>
<td>53831</td>
<td>Carnatic101</td>
<td>C</td>
</tr>
<tr>
<td>51383</td>
<td>Reggae203</td>
<td>B</td>
</tr>
<tr>
<td>53650</td>
<td>Topology112</td>
<td>A</td>
</tr>
<tr>
<td>53666</td>
<td>History105</td>
<td>B</td>
</tr>
</tbody>
</table>

Given the following instance of Enrolled (is this possible if the DBMS ensures referential integrity?):

we get:

<table>
<thead>
<tr>
<th>S.name</th>
<th>E.cid</th>
</tr>
</thead>
<tbody>
<tr>
<td>Smith</td>
<td>Topology112</td>
</tr>
</tbody>
</table>

Creating Relations in SQL

- Creates the Students relation. Observe that the type (domain) of each field is specified, and enforced by the DBMS whenever tuples are added or modified.

```
CREATE TABLE Students
(sid: CHAR(20),
 name: CHAR(20),
 login: CHAR(10),
 age: INTEGER,
 gpa: REAL)
```

- As another example, the Enrolled table holds information about courses that students take.

```
CREATE TABLE Enrolled
(sid: CHAR(20),
 cid: CHAR(20),
 grade: CHAR(2))
```

Destroying and Altering Relations

- Destroys the relation Students. The schema information and the tuples are deleted.

```
DROP TABLE Students
```

- The schema of Students is altered by adding a new field; every tuple in the current instance is extended with a null value in the new field.

```
ALTER TABLE Students
ADD COLUMN firstYear: integer
```

Adding and Deleting Tuples

- Can insert a single tuple using:
  ```sql
  INSERT INTO Students (sid, name, login, age, gpa)
  VALUES (53688, ‘Smith’, ‘smith@ee’, 18, 3.2)
  ```

- Can delete all tuples satisfying some condition (e.g., name = Smith):
  ```sql
  DELETE
  FROM Students S
  WHERE S.name = ‘Smith’
  ```

* Powerful variants of these commands are available, more later!

Integrity Constraints (ICs)

- IC: condition that must be true for any instance of the database; e.g., domain constraints:
  - ICs are specified when schema is defined.
  - ICs are checked when relations are modified.
- A legal instance of a relation is one that satisfies all specified ICs.
- The DBMS should not allow illegal instances.
- If the DBMS checks ICs, stored data is more faithful to real-world meaning.
  - Avoids data entry errors, too!
### Primary Key Constraints

- A set of fields is a **key** for a relation if:
  1. No two distinct tuples can have same values in all key fields, and
  2. This is not true for any subset of the key.
- Part 2 false? A **superkey**.
- If there’s >1 key for a relation, one of the keys is chosen (by DBA) to be the **primary key**.
- E.g., sid is a key for Students. (What about name?) The set [sid, gpa] is a superkey.

### Primary and Candidate Keys in SQL

- Possibly many **candidate keys** (specified using UNIQUE), one of which is chosen as the **primary key**.
- “For a given student and course, there is a single grade.” vs. “Students can take only one course, and receive a single grade for that course; further, no two students in a course receive the same grade.”
- Used carelessly, an IC can prevent the storage of database instances that arise in practice!

### Foreign Keys, Referential Integrity

- **Foreign key**: Set of fields in one relation that is used to ‘refer’ to a tuple in another relation. (Must correspond to primary key of the second relation.) Like a ‘logical pointer’.
- E.g. sid is a foreign key referring to Students:
  - Enrolled(sid: string, cid: string, grade: string)
  - If all foreign key constraints are enforced, referential integrity is achieved, i.e., no dangling references.
  - Can you name a data model w/o referential integrity?
  - Links in HTML!

### Foreign Keys in SQL

- Only students listed in the Students relation should be allowed to enroll for courses.

### Enforcing Referential Integrity

- Consider Students and Enrolled; sid in Enrolled is a foreign key that references Students.
- What should be done if an Enrolled tuple with a non-existent student id is inserted? **(Reject it!)**
- What should be done if a Students tuple is deleted?
  - Also delete all Enrolled tuples that refer to it.
  - Set sid in Enrolled tuples that refer to it to a default sid.
  - (In SQL, also: Set sid in Enrolled tuples that refer to it to a special value null, denoting ‘unknown’ or ‘inapplicable’.)
- Similar if primary key of Students tuple is updated.

### Referential Integrity in SQL

- SQL/92 and SQL:1999 support all 4 options on deletes and updates:
  - Default is **NO ACTION** (delete/update is rejected)
  - **CASCADE** (also delete all tuples that refer to deleted tuple)
  - **SET NULL** / **SET DEFAULT** (sets foreign key value of referencing tuple)

- CREATE TABLE Enrolled
  - (sid CHAR(20), cid CHAR(20), grade CHAR(20), PRIMARY KEY (sid,cid))

- CREATE TABLE Enrolled
  - (sid CHAR(20), cid CHAR(20), grade CHAR(20), PRIMARY KEY (sid,cid), FOREIGN KEY (sid) REFERENCES Students ON DELETE CASCADE)

### Example SQL Table

<table>
<thead>
<tr>
<th>Enrolled</th>
<th>Students</th>
</tr>
</thead>
<tbody>
<tr>
<td>sid</td>
<td>name</td>
</tr>
<tr>
<td>53666</td>
<td>Carnatic101</td>
</tr>
<tr>
<td>53666</td>
<td>Reggae203</td>
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<tr>
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<td>53650</td>
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</tbody>
</table>
Where do ICs Come From?

- ICs are based upon the semantics of the real-world enterprise that is being described in the database relations.
- We can check a database instance to see if an IC is violated, but we can NEVER infer that an IC is true by looking at an instance.
  - An IC is a statement about all possible instances!
  - From example, we know name is not a key, but the assertion that sid is a key is given to us.
- Key and foreign key ICs are the most common; more general ICs supported too.

Logical DB Design: ER to Relational

- Entity sets to tables:

  ```
  CREATE TABLE Employees
  (ssn CHAR(11),
   name CHAR(20),
   lot INTEGER,
   PRIMARY KEY (ssn))
  ```

  CREATE TABLE Employees
  (ssn CHAR(11),
   name CHAR(20),
   lot INTEGER,
   PRIMARY KEY (ssn))

Relationship Sets to Tables

- In translating a relationship set to a relation, attributes of the relation must include:
  - Keys for each participating entity set (as foreign keys).
  - This set of attributes forms a superkey for the relation.
  - All descriptive attributes.

Review: Key Constraints

- Each dept has at most one manager, according to the key constraint on Manages.

  ```
  CREATE TABLE Manages
  (ssn CHAR(11),
   did INTEGER,
   since DATE,
   PRIMARY KEY (did),
   FOREIGN KEY (ssn) REFERENCES Employees,
   FOREIGN KEY (did) REFERENCES Departments)
  ```

  CREATE TABLE Manages
  (ssn CHAR(11),
   did INTEGER,
   since DATE,
   PRIMARY KEY (did),
   FOREIGN KEY (ssn) REFERENCES Employees,
   FOREIGN KEY (did) REFERENCES Departments)

Translating ER Diagrams with Key Constraints

- Map relationship to a table:
  - Note that did is the key now!
  - Separate tables for Employees and Departments.
- Since each department has a unique manager, we could instead combine Manages and Departments.

Review: Participation Constraints

- Does every department have a manager?
  - If so, this is a participation constraint: the participation of Departments in Manages is said to be total (vs. partial).
  - Every did value in Departments table must appear in a row of the Manages table (with a non-null ssn value!)

  ```
  CREATE TABLE Dept_Mgr
  (did INTEGER,
   dname CHAR(20),
   budget REAL,
   ssn CHAR(11),
   since DATE,
   PRIMARY KEY (did),
   FOREIGN KEY (ssn) REFERENCES Employees)
  ```

  CREATE TABLE Dept_Mgr
  (did INTEGER,
   dname CHAR(20),
   budget REAL,
   ssn CHAR(11),
   since DATE,
   PRIMARY KEY (did),
   FOREIGN KEY (ssn) REFERENCES Employees)
**Participation Constraints in SQL**

- We can capture participation constraints involving one entity set in a binary relationship, but little else (without resorting to CHECK constraints).

```sql
CREATE TABLE Dept_Mgr(
    did INTEGER,
    dname CHAR(20),
    budget REAL,
    ssn CHAR(11) NOT NULL,
    since DATE,
    PRIMARY KEY (did),
    FOREIGN KEY (ssn) REFERENCES Employees,
    ON DELETE NO ACTION)
```

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**Review: Weak Entities**

- A weak entity can be identified uniquely only by considering the primary key of another (owner) entity.
- Owner entity set and weak entity set must participate in a one-to-many relationship set (1 owner, many weak entities).
- Weak entity set must have total participation in this identifying relationship set.

![Weak Entities Diagram](image)

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**Translating Weak Entity Sets**

- Weak entity set and identifying relationship set are translated into a single table.
  - When the owner entity is deleted, all owned weak entities must also be deleted.

```sql
CREATE TABLE Dep_Policy (
    pname CHAR(20),
    age INTEGER,
    cost REAL,
    ssn CHAR(11) NOT NULL,
    PRIMARY KEY (pname, ssn),
    FOREIGN KEY (ssn) REFERENCES Employees,
    ON DELETE CASCADE)
```

---

**Review: ISA Hierarchies**

- As in C++, or other PLs, attributes are inherited.
- If we declare A ISA B, every A entity is also considered to be a B entity.
- Overlap constraints: Can Joe be an Hourly_Emps as well as a Contract_Emps entity? (Allowed/disallowed)
- Covering constraints: Does every Employees entity also have to be an Hourly_Emps or a Contract_Emps entity? (Yes/no)

![ISA Hierarchies Diagram](image)

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**Translating ISA Hierarchies to Relations**

- **General approach:**
  - 3 relations: Employees, Hourly_Emps and Contract_Emps.
  - Hourly_Emps: Every employee is recorded in Employees. For hourly emps, extra info recorded in Hourly_Emps (hourly_wages, hours_worked, ssn); must delete Hourly_Emps tuple if referenced Employees tuple is deleted.
  - Queries involving all employees easy, those involving just Hourly_Emps require a join to get some attributes.
- **Alternative:** Just Hourly_Emps and Contract_Emps.
  - Hourly_Emps: ssn, name, lot, hourly_wages, hours_worked.
  - Each employee must be in one of these two subclasses.

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**Review: Binary vs. Ternary Relationships**

- What are the additional constraints in the 2nd diagram?
**Binary vs. Ternary Relationships (Contd.)**

- The key constraints allow us to combine Purchaser with Policies and Beneficiary with Dependents.
- Participation constraints lead to NOT NULL constraints.
- What if Policies is a weak entity set?

```sql
CREATE TABLE Policies (
    policyid INTEGER,
    cost REAL,
    ssn CHAR(11) NOT NULL,
    PRIMARY KEY (policyid),
    FOREIGN KEY (ssn) REFERENCES Employees,
    ON DELETE CASCADE)
```

```sql
CREATE TABLE Dependents (
    pname CHAR(20),
    age INTEGER,
    policyid INTEGER,
    PRIMARY KEY (pname, policyid),
    FOREIGN KEY (policyid) REFERENCES Policies,
    ON DELETE CASCADE)
```

**Views**

- A view is just a relation, but we store a definition, rather than a set of tuples.

```sql
CREATE VIEW YoungActiveStudents (name, grade) AS SELECT S.name, E.grade FROM Students S, Enrolled E WHERE S.sid = E.sid and S.age < 21
```

- Views can be dropped using the DROP VIEW command.
  - How to handle DROP TABLE if there’s a view on the table?
  - DROP TABLE command has options to let the user specify this.

**Views and Security**

- Views can be used to present necessary information (or a summary), while hiding details in underlying relation(s).
  - Given YoungStudents, but not Students or Enrolled, we can find students s who have are enrolled, but not the cid’s of the courses they are enrolled in.

**Relational Model: Summary**

- A tabular representation of data.
- Simple and intuitive, currently the most widely used.
- Integrity constraints can be specified by the DBA, based on application semantics. DBMS checks for violations.
  - Two important ICs: primary and foreign keys
  - In addition, we always have domain constraints.
- Powerful and natural query languages exist.
- Rules to translate ER to relational model